

# $L^p$ Operator Algebras.

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## Abstract

The term  $C^*$ -algebra was introduced by I. E. Segal in 1947 to describe norm-closed subalgebras of the algebra  $\mathcal{L}(\mathcal{H})$  of bounded linear operators on a Hilbert space  $\mathcal{H}$ . A natural generalization is to replace the Hilbert space (which is an  $L^2$ -space) with an  $L^p$ -space, where  $p \in [1, \infty]$ . This gives rise to the study of  $L^p$  operator algebras. For  $p \neq 2$ , we don't have an inner product and therefore the geometry of an  $L^p$  space is much more complicated than the one of a Hilbert space. This makes the study of  $L^p$  operator algebras more complicated than the one of  $C^*$ -algebras. Nevertheless, there are some  $C^*$  results and constructions that still hold for  $L^p$  operator algebras.

In this talk I will define what an  $L^p$  operator algebra is and give several examples. I plan to spend most of the time doing this and the only prerequisite will be basic measure theory: anyone who knows what an  $L^p$  space is should be able to follow most of the talk. I will then talk about an  $L^p$  analog of the Cuntz algebras that comes from looking at the Leavitt algebras. Time permitting, I will talk about the full and reduced Crossed products of an  $L^p$  operator algebra  $A$  when we have an isometric action of a second countable locally compact group  $G$  on  $A$ .

## 1 Definition and Examples

**Definition 1.1.** Let  $A$  be a Banach algebra, and let  $p \in [1, \infty]$ . We say that  $A$  is an  $L^p$  operator algebra if there is a measure space  $(X, \mathcal{B}, \mu)$  such that  $A$  is isometrically isomorphic to a norm closed subalgebra of  $\mathcal{L}(L^p(X, \mu))$ .

We now give several examples of  $L^p$  operator algebras.

**Example 1.2.** For any  $(X, \mathcal{B}, \mu)$  and  $p \in [1, \infty]$ , we trivially have that  $\mathcal{L}(L^p(X, \mu))$  is an  $L^p$  operator algebra.

**Example 1.3.** For any  $(X, \mathcal{B}, \mu)$  and  $p \in [1, \infty]$ , the algebra  $\mathcal{K}(L^p(X, \mu))$  of compact operators on  $L^p(X, \mu)$  is an  $L^p$  operator algebra.

**Example 1.4.** Any  $C^*$ -algebra is an  $L^2$  operator algebra. However, a general  $L^2$  operator algebra is not necessarily a self-adjoint algebra.

**Example 1.5.** Let  $p \in [1, \infty]$ ,  $n \in \mathbb{Z}_{<0}$  and endow  $\mathbb{C}^n$  with the usual  $p$ -norm:

$$\|z\|_p = \begin{cases} (\sum_{j=1}^n |z_j|^p)^{1/p} & \text{if } p \in [1, \infty) \\ \max_{j=1, \dots, n} |z_j| & \text{if } p = \infty \end{cases}$$

Then, if we equip  $M_n$ , the set of  $n \times n$  complex matrices, with the operator norm we get an  $L^p$  operator algebra that is isometrically isomorphic to  $\mathcal{L}(\ell^p(\{1, \dots, n\}))$ . To emphasize the dependence on the  $p$ -norm, this space is denoted by  $M_n^p$ .

**Example 1.6.** For  $j, k \in \{1, \dots, n\}$ , let  $e_{j,k} \in M_n^p$  be the matrix whose only non-zero entry is the entry  $(j, k)$  which is equal to 1. Then, the set of upper triangular matrices

$$T_n^p = \text{span}\{e_{j,k} : 1 \leq j \leq k \leq n\}$$

is a subalgebra of  $M_n^p$ , which is also an  $L^p$  operator algebra.

**Example 1.7.** Let  $p \in [1, \infty)$  and let  $X$  be a compact topological space. Suppose that  $\mu$  is a regular Borel measure on  $X$  such that  $\mu(U) > 0$  for every open set  $U \subseteq X$  (we can always find such measure when  $X$  is compact metrizable and in some other cases). Then,  $C(X)$  is an  $L^p$  operator algebra. Indeed, Let  $\varphi : C(X) \rightarrow \mathcal{L}(L^p(X, \mu))$  be given by

$$(\varphi(f)\xi)(x) := f(x)\xi(x)$$

We have to check that  $\varphi(f)\xi \in L^p(X, \mu)$  for any  $\xi \in L^p(X, \mu)$  and that  $\varphi$  is an injective isometric homomorphism. The former follows directly from

$$\int_X |f(x)\xi(x)|^p d\mu(x) \leq \|f\|_\infty^p \|\xi\|_p^p$$

For the latter, it's clear that  $\varphi$  is a homomorphism and since  $\xi \equiv 1 \in L^p(X, \mu)$  (because  $\mu(X) < \infty$ ), we also have that  $\varphi$  is injective. We now only need to prove that  $\varphi$  is isometric. Well, we already saw that  $\|\varphi(f)\xi\|_p^p \leq \|f\|_\infty^p \|\xi\|_p^p$ , so  $\|\varphi(f)\| \leq \|f\|_\infty$ . For the reverse inequality, assume that  $\|f\|_\infty > 0$  (otherwise the desired inequality is trivial). For any  $c \geq 0$  with  $c < \|f\|_\infty$  we have that

$$U := \{x \in X : |f(x)| > c\}$$

is an open set and therefore  $\mu(U) > 0$ . Furthermore, notice that

$$\|\varphi(f)\chi_U\|_p^p = \int_U |f|^p d\mu > c^p \mu(U) = c^p \|\chi_U\|_p^p$$

Hence,

$$\|\varphi(f)\| \geq \frac{\|\varphi(f)\chi_U\|_p}{\|\chi_U\|_p} > c,$$

and since this holds for any  $c$  with  $c < \|f\|_\infty$ , it follows that  $\|\varphi(f)\| \geq \|f\|_\infty$  as desired.

**Example 1.8.** Let  $p \in [1, \infty]$  and let  $X$  be a locally compact topological space. Then  $C_0(X)$ , with the usual supremum norm, is an  $L^p$  operator algebra. To see this, let  $\nu$  be counting measure on  $X$  and define  $\varphi : C_0(X) \rightarrow \mathcal{L}(L^p(X, \nu))$  by

$$(\varphi(f)\xi)(x) := f(x)\xi(x)$$

One checks that  $\varphi$  is an isometric bijection from  $C_0(X)$  to a norm closed subalgebra of  $\mathcal{L}(L^p(X, \nu))$ .

The maps  $\varphi$  used in the previous two examples are special cases of representations.

**Definition 1.9.** A **representation of a Banach algebra  $A$  on a Banach space  $E$**  is a continuous homomorphism  $\varphi : A \rightarrow \mathcal{L}(E)$ . We say that a representation  $\varphi$  is non-degenerate if

$$\varphi(A)E := \text{span}\{\varphi(a)\xi : a \in A, \xi \in E\}$$

is dense in  $E$ .

When studying  $L^p$  operator algebras we are interested in representations on  $L^p$  spaces. In fact, an  $L^p$  operator algebra is a Banach algebra for which there is an isometric representation on  $L^p(X, \mu)$  for some measure space  $(X, \mathcal{B}, \mu)$ .

**Example 1.10.** Let  $A$  be the subalgebra of  $T_2^p$  generated by  $e_{1,2}$ . This is an  $L^p$  operator algebra. We claim that  $A$  does not admit non-degenerate representations. Indeed, assume that  $\varphi : A \rightarrow \mathcal{L}(E)$  is a representation on **any** non-zero Banach space  $E$ . Since  $\varphi(e_{1,2}) = 0$  it follows that if  $\xi \in E$ , then  $\varphi(e_{1,2})\xi \in \ker(\varphi(e_{1,2}))$ . This gives of course that  $\varphi(A)E \subset \ker(\varphi(e_{1,2}))$ . We have now two cases:

- (1)  $\varphi(e_{1,2}) \neq 0$ . Here,  $\ker(\varphi(e_{1,2}))$  is a proper subset of  $E$  (as there is a  $\xi \in E$  for which  $\varphi(e_{1,2})\xi \neq 0$ ) which is also closed. Hence,  $\varphi(A)E$  cannot be dense in  $E$ .
- (2)  $\varphi(e_{1,2}) = 0$ . Here,  $\varphi(A)E = \{0\}$ , so again it cannot be dense in  $E$ .

**Example 1.11.** As a final example we show how one can get new  $L^p$  operator algebras from old ones. For  $p \in [1, \infty)$ , if  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$  are measure spaces, there is an  $L^p$  tensor product  $L^p(X, \mu) \otimes_p L^p(Y, \nu)$ , which can be canonically identified with  $L^p(X \times Y, \mu \times \nu)$  via  $(\xi \otimes \eta)(x, y) = \xi(x)\eta(y)$ . Moreover:

- Let  $(X_j, \mathcal{B}_j, \mu_j)$  and  $(Y_j, \mathcal{C}_j, \nu_j)$  be measure spaces for  $j = 1, 2$ . If  $a \in \mathcal{L}(L^p(X_1, \mu_1), L^p(X_2, \mu_2))$  and  $b \in \mathcal{L}(L^p(Y_1, \nu_1), L^p(Y_2, \nu_2))$ , then there is  $a \otimes b \in \mathcal{L}(L^p(X_1 \times Y_1, \mu_1 \times \nu_1), L^p(X_2 \times Y_2, \mu_2 \times \nu_2))$ , which has the expected properties: bilinearity,  $\|a \otimes b\| = \|a\| \|b\|$  and  $(a_1 \otimes b_1)(a_2 \otimes b_2) = a_1 a_2 \otimes b_1 b_2$ .

Then, if  $A_j \subset L^p(X_j, \mu_j)$  is a norm closed subalgebra for  $j = 1, 2$ . We define

$$A_1 \otimes_p A_2 \subset \mathcal{L}(L^p(X_1 \times X_2, \mu_1 \times \mu_2))$$

as the closed linear span of  $a_1 \otimes a_2$  for  $a_1 \in A_1$  and  $a_2 \in A_2$ . Then  $A_1 \otimes_p A_2$  is an  $L^p$  operator algebra.

## 2 Analogs of Cuntz Algebras

Let  $n \geq 2$  be an integer and  $\mathcal{H}$  an infinite dimensional separable Hilbert space. Then, there are elements  $s_1, s_2, \dots, s_n \in \mathcal{L}(\mathcal{H})$  such that

$$s_j^* s_j = 1 \quad \text{and} \quad \sum_{j=1}^n s_j s_j^* = 1 \quad (\star)$$

We define  $\mathcal{O}_n$ , the Cuntz algebra of order  $n$ , as  $C^*(s_1, \dots, s_n)$ . In fact, the construction of  $\mathcal{O}_n$  is independent of the Hilbert space  $\mathcal{H}$  and the choice of isometries as long as the relations  $(\star)$  are satisfied. The algebra  $\mathcal{O}_n$  is a simple  $C^*$ -algebra and has the following universal property: If  $A$  is a unital  $C^*$ -algebra containing elements  $a_1, \dots, a_n$  such that

$$a_j^* a_j = 1 \quad \text{and} \quad \sum_{j=1}^n a_j a_j^* = 1,$$

then there is a unique  $*$ -homomorphism  $\varphi : \mathcal{O}_n \rightarrow A$  such that  $\varphi(s_j) = a_j$ .

We will now introduce the  $L^p$ -Cuntz algebras  $\mathcal{O}_n^p$  which are an  $L^p$  analog of  $\mathcal{O}_n$ . In fact, when  $p = 2$ , we have  $\mathcal{O}_n^2 = \mathcal{O}_n$ . For that matter we first need to introduce an algebraic object: the Leavitt complex algebras.

**Definition 2.1.** Let  $n \geq 2$  be an integer. We define the **Leavitt algebra**  $L_n$  to be the universal complex algebra generated by elements  $s_1, s_2, \dots, s_n, t_1, t_2, \dots, t_n$  satisfying

$$t_k s_j = \delta_{j,k} \quad \text{and} \quad \sum_{j=1}^n s_j t_j = 1$$

There is a well defined norm on  $L_n$  that comes from a particular kind of algebraic representations of  $L_n$  on  $\sigma$ -finite  $L^p$  spaces. The completion of  $L_n$  with respect to this norm is the  $L^p$ -Cuntz algebra  $\mathcal{O}_n^p$ . The algebraic representations that we need are the so called spatial representations. We need a little background to define these representations.

**Notation 2.2.** For a measure space  $(X, \mathcal{B}, \mu)$  we have the set  $\mathcal{N}(\mu) := \{E \in \mathcal{B} : \mu(E) = 0\}$ . We put

$$[E] := \{F \in \mathcal{B} : E \Delta F \in \mathcal{N}(\mu)\}$$

and define  $\mathcal{B}/\mathcal{N}(\mu) := \{[E] : E \in \mathcal{B}\}$ . It's an easy exercise to check that the classic set operations,  $\cup, \cap, \setminus$ , are well defined in  $\mathcal{B}/\mathcal{N}(\mu)$ . In fact,  $\mathcal{B}/\mathcal{N}(\mu)$  is a Boolean algebra.

**Definition 2.3.** Let  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$  be measure spaces. A **measurable set transformation** is a map  $S : \mathcal{B}/\mathcal{N}(\mu) \rightarrow \mathcal{C}/\mathcal{N}(\nu)$  such that whenever  $E_1, E_2, \dots \in \mathcal{B}$

(i)  $S(\mathcal{N}(\mu)) = \mathcal{N}(\nu)$  (i.e.  $S([\emptyset]) = [\emptyset]$ )

(ii)  $S([X] \setminus [E_1]) = [Y] \setminus S([E_1])$

(iii)  $S(\bigcup_{j=1}^{\infty} [E_j]) = \bigcup_{j=1}^{\infty} S([E_j])$

Moreover, we define the range of  $S$  as

$$\text{ran}(S) := \{F \in \mathcal{C} : [F] = S([E]) \text{ for some } E \in \mathcal{B}\}$$

**Remark 2.4.** One checks that  $\text{ran}(S)$  is a sub  $\sigma$ -algebra of  $\mathcal{C}$  and that  $S$  is surjective if and only if  $\text{ran}(S) = \mathcal{C}$ .

**Notation 2.5.** Whenever we encounter a measurable set transformation  $S : \mathcal{B}/\mathcal{N}(\mu) \rightarrow \mathcal{C}/\mathcal{N}(\nu)$  there will be some abuse of notation

- We will write  $S(E) = F$  whenever  $S([E]) = [F]$ .
- We write  $S : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  instead of  $S : \mathcal{B}/\mathcal{N}(\mu) \rightarrow \mathcal{C}/\mathcal{N}(\nu)$ .

**Notation 2.6.** For a measure space  $(X, \mathcal{B}, \mu)$  we denote by  $L^0(X, \mu)$  to the space of complex valued measurable functions modulo functions that vanish a.e  $[\mu]$ . Then, if  $[E] \in \mathcal{B}/\mathcal{N}(\mu)$ , the function  $\chi_{[E]} \in L^0(X, \mu)$  is actually the equivalence class of  $\chi_E$  in  $L^0(X, \mu)$ .

**Proposition 2.7.** (The pushforward on  $L^0(X, \mu)$  induced by  $S$ ) Let  $S : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  be a measurable set transformation. Then there is a unique linear map  $S_* : L^*(X, \mu) \rightarrow L^*(Y, \nu)$  characterized by

$$S_*(\chi_{[E]}) = \chi_{S([E])}$$

**Definition 2.8.** For a measure space  $(X, \mathcal{B}, \mu)$  we define  $\text{ACM}(\mathcal{B}, \mu)$  to be the set of measures on  $\mathcal{B}$  that are absolutely continuous with respect to  $\mu$ . That is,  $\text{ACM}(\mathcal{B}, \mu) := \{\text{measures } \lambda \text{ on } \mathcal{B} : \lambda \ll \mu\}$ .

**Proposition 2.9.** (The pullback from  $\text{ACM}(\mathcal{C}, \nu)$  induced by  $S$ ) Let  $S : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  be a measurable set transformation. Then there is a unique map  $S^* : \text{ACM}(\mathcal{C}, \nu) \rightarrow \text{ACM}(\mathcal{B}, \mu)$  characterized by

$$S^*(\lambda)(E) = \lambda(S(E))$$

whenever  $S(E) = [F]$ .

We really need to push measures forward rather than pull them back. For this, we require  $S : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  to be an injective measurable transformation. Then, we get a measurable transformation  $S^{-1} : (Y, \text{ran}(S), \nu|_{\text{ran}(S)}) \rightarrow (X, \mathcal{B}, \mu)$ . This allows us to define the **pushforward on  $\text{ACM}(\mathcal{B}, \mu)$  induced by  $S$**  as follows:  $S_* : \text{ACM}(\mathcal{B}, \mu) \rightarrow \text{ACM}(\text{ran}(S), \nu|_{\text{ran}(S)})$  is given by  $S_* := (S^{-1})^*$ .

**Lemma 2.10.** Let  $p \in [1, \infty]$  and  $S : (X, \mathcal{B}, \mu) \rightarrow (Y, \mathcal{C}, \nu)$  be an injective measurable set transformation such that  $\nu|_{\text{ran}(S)}$  is  $\sigma$ -finite, and let  $g$  be a measurable function on  $Y$  such that  $|g| = 1$  a.e.  $[\nu]$ . If  $s : L^p(X, \mu) \rightarrow L^p(Y, \nu)$  is given by

$$s(\xi) := \left( \frac{dS_*(\mu)}{d\nu|_{\text{ran}(S)}} \right)^{1/p} S_*(\xi)g$$

Then,  $s$  is an isometry:  $\|s(\xi)\|_p = \|\xi\|_p$ .

**Definition 2.11.** Let  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$  be  $\sigma$ -finite measure spaces.

- (1) A **spatial system** for  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$  is a quadruple  $(E, F, S, g)$  in which  $E \in \mathcal{B}$ ,  $F \in \mathcal{C}$ ,  $S : (E, \mathcal{B}|_E, \mu|_E) \rightarrow (F, \mathcal{C}|_F, \nu|_F)$  is a bijective measurable set transformation and  $g : F \rightarrow \mathbb{C}$  a  $\text{ran}(S)$ -measurable function such that  $|g| = 1$  a.e.  $[\nu|_F]$ .
- (2) If  $p \in [1, \infty]$ , a linear map  $s : L^p(X, \mu) \rightarrow L^p(Y, \nu)$  is said to be a **spatial partial isometry** if there is a spatial system  $(E, F, S, g)$  such that

$$s(\xi) := \begin{cases} \left( \frac{dS_*(\mu|_E)}{d\nu|_{\text{ran}(S)}} \right)^{1/p} S_*(\xi|_E)g & \text{on } F \\ 0 & \text{on } Y \setminus F \end{cases}$$

An important theorem by Lamperti states that for  $p \in [1, \infty] \setminus \{2\}$ , any isometry  $s \in \mathcal{L}(L^p(X, \mu), L^p(Y, \nu))$  is a spatial isometry. Lamperti's theorem has been really useful to prove many facts about  $L^p$  operator algebras.

**Lemma 2.12.** Let  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$  be  $\sigma$ -finite measure spaces. Let  $p \in [1, \infty]$ , and let  $(E, F, S, g)$  be a spatial system for  $(X, \mathcal{B}, \mu)$  and  $(Y, \mathcal{C}, \nu)$ .

- (1) There is a unique spatial partial isometry  $s \in \mathcal{L}(L^p(X, \mu), L^p(Y, \nu))$  whose spatial system is  $(E, F, S, g)$ .
- (2) Furthermore, there is a unique spatial partial isometry  $t \in \mathcal{L}(L^p(Y, \nu), L^p(X, \mu))$  whose spatial system is  $(F, E, S^{-1}, (S^{-1})_*(g)^{-1})$ . The element  $t$  is called the **reverse of  $s$** .

**Definition 2.13.** Let  $n \geq 2$  be an integer, let  $p \in [1, \infty]$  and let  $(X, \mathcal{B}, \mu)$  be a  $\sigma$ -finite measure space. A unital algebra homomorphism  $\rho : L_n \rightarrow \mathcal{L}(L^p(X, \mu))$  is said to be a **spatial representation** if for each  $j$ , the operators  $\rho(s_j)$  and  $\rho(t_j)$  are spatial partial isometries, with  $\rho(t_j)$  being the reverse of  $\rho(s_j)$ .

**Definition 2.14.** Let  $n \geq 2$  be an integer, let  $p \in [1, \infty]$ , and let  $\rho : L_n \rightarrow \mathcal{L}(L^p(X, \mu))$  be a spatial representation of  $L_n$  on a  $\sigma$ -finite space  $(X, \mathcal{B}, \mu)$ . We define a spatial  $L^p$  operator norm on  $L_n$  by setting

$$\|a\| = \|\rho(a)\|.$$

This norm is seen to be independent of the spatial representation  $\rho$  chosen. We define the  $L^p$  **Cuntz algebra**  $\mathcal{O}_n^p$  to be the completion of  $L_n$  in the above norm.

**Theorem 2.15.** Let  $n \geq 2$  be an integer and let  $p \in [1, \infty)$ . Then

- (1)  $\mathcal{O}_n^p$  is simple.
- (2)  $\mathcal{O}_n^2 = \mathcal{O}_n$ .
- (3)  $\mathcal{O}_n^p$  has the same  $K$ -theory as when  $p = 2$ :  $K_0(\mathcal{O}_n^p) = \mathbb{Z}/(n-1)\mathbb{Z}$  and  $K_1(\mathcal{O}_n^p) = \{0\}$ .
- (4)  $\mathcal{O}_2^p \otimes_p \mathcal{O}_2^p \cong \mathcal{O}_2^p$  if and only if  $p = 2$ .

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